

1 It's dated January 2nd, 1988. Do you have that before
2 you?

3 A Yes.

4 Q Have you seen this document before?

5 A Yes, I have.

6 Q All right. And does your signature appear on
7 the document?

8 A Yes, it does.

9 Q And also Mr. Van Ginkel's signature appears on
10 the document; is that right?

11 A That's correct.

12 MR. SMITHWICK: Let's make this Deposition
13 Exhibit Number 10.

14 (Deposition Exhibit 10 identified.)

15 BY MR. SMITHWICK:

16 Q Now, did you sign this document at the same time
17 that you got your stock certificate which we made
18 Deposition Exhibit Number 1?

19 A I don't know whether I did or not. I mean, it
20 was all in the same time frame as far as the same day.

21 Q All done about the same time; is that right?

22 A Yes, yes.

1 Q In Arizona. This appears to have a number of
2 stock restrictions. Are those restrictions in effect as
3 far as you know?

4 A Yes, as far as I know.

5 Q Have they been in effect at least since March
6 10th of '88?

7 A Yes, as far as I know. I don't know of any
8 changes.

9 Q Okay. Good. Let me show you another document.
10 This is dated April 30, 1992 from Mr. Van Ginkel to you on
11 KJAN letterhead. Do you have that before you?

12 A Yes, I do.

13 Q And do you remember seeing this letter from
14 Mr. Van Ginkel?

15 A Yes.

16 Q And it says "Thank you for notifying me that you
17 decided to apply for the Audubon FM." And it is dated
18 April 30. Is that, April 30, the date that you notified
19 Mr. Van Ginkel that you were going to apply for the
20 Audubon FM?

21 A I believe that would be a fair -- it would be
22 fair to say that my final decision, total, complete

1 decision had to be that day, because that's the day it was
2 due.

3 Q On April 30?

4 A He was aware prior to that.

5 Q That was on April 30?

6 A Yes.

7 MR. SMITHWICK: Okay. Let's make this
8 Deposition Exhibit 12.

9 (Deposition Exhibit 12 identified.)

10 BY MR. SMITHWICK:

11 Q Now, you -- there was some earlier testimony
12 about the fact that KJAN let you use trust fund money that
13 they had with Mr. Friedman to pay your filing fee for your
14 application. Do you remember that testimony?

15 A Yes.

16 Q And then you repaid KJAN; right?

17 A That's correct.

18 Q That was money borrowed from KJAN, but you did
19 repay them; is that right?

20 A That's correct.

21 Q Repayment, I believe, was June 3, 1992? I can
22 refer you --

1 Whose initials are those?

2 A That's J.C. Van Ginkel's initials.

3 Q Does Mr. Van Ginkel have your stock certificate
4 at this time?

5 A Yes, he does.

6 MR. SMITHWICK: Let's make this Deposition
7 Exhibit 14, please. Are we on 13? Yes, that's right.

8 (Deposition Exhibit 13 identified.)

9 BY MR. SMITHWICK:

10 Q Do you know if a copy of this security agreement
11 was filed with the FCC?

12 A I don't know.

13 Q Did you ever send a copy to your attorney and
14 ask him to file it with the FCC?

15 A I have sent a copy to Barry, yes.

16 Q Did you send it to him within 30 days of June 2,
17 1992?

18 A I don't know when it was sent to him.

19 Q So is it your testimony this has not been filed
20 with the FCC or you don't know if it's been filed?

21 A I don't know if it has been filed with the FCC.

22 Q Do you know if it has to be filed with the FCC?

1 been probably just something that looked like it should be
2 in the public file so I placed it there.

3 Q Now, getting back to Deposition Exhibit Number
4 14, Exhibit Number 2, I'll direct your attention to
5 Exhibit Number 2 of the application; and it says, it
6 represents to the Commission that the licensee -- which is
7 Wireless, is that not correct, Wireless?

8 A Yes.

9 Q "Is an Iowa corporation, which has issued voting
10 shares and are currently owned by J.C. Van Ginkel and
11 Steve Eaton. These two individuals own 50 percent each of
12 the corporation." Isn't that what it says? That was a
13 representation made to the FCC; right?

14 A Yes.

15 Q And that representation was made in this May 16
16 application; is that correct?

17 A That's correct.

18 Q All right. And I'll also show you page 9 of FCC
19 form 315, and it says in answer to question 9, "are there
20 any documents, instruments, contracts or understandings
21 relating to ownership or future ownership rights
22 (including, but not limited to, nonvoting stock interests,

1 beneficial stock ownership interests, options, warrants,
2 debentures)?" And the answer is yes; right? You answered
3 yes; is that correct?

4 A Yes, okay.

5 Q And then it says "if yes, provide particulars as
6 Exhibit Number 4, is a copy of the buy-sell agreement."
7 Is that what it says?

8 A That's what it says.

9 Q Let's go to Exhibit Number 4. That's Exhibit
10 Number 4; am I correct?

11 A Yes.

12 Q Okay. Let me show you Deposition Exhibit Number
13 11. Now are those documents identical except for the fact
14 that there are no signatures or dates at the bottom of the
15 page?

16 A They look to be identical to me.

17 Q Do you have any knowledge of why the signatures
18 do not appear on the application that was filed with the
19 FCC?

20 A I do not. That was all done by Mr. Van Ginkel.
21 I don't know.

22 MR. SMITHWICK: All right. If you give me just

EXHIBIT 8

**Letter To FCC On Closing OF KJAN(AM)
KJAN(AM) Ownership Report**

Public Inspection File

BORSARI & PAXSON
ATTORNEYS & COUNSELORS AT LAW
2100 M STREET N.W., SUITE 610
WASHINGTON, D.C. 20037
(202) 296-4800

GEORGE R. BORSARI, JR.
ANNE THOMAS PAXSON
HOWARD W. SIMCOX, JR.

OF COUNSEL
LEO RESNICK, P.C.

January 13, 1988

Mr. H. Walker Feaster
Acting Secretary
Federal Communications Commission
Washington, DC 20554

KJAN(AM), Atlantic, IA
Notification of Consummation
of Assignment

Dear Mr. Feaster:

This is to inform you that the authorized assignment to Wireless Communications Corp. of the license to operate AM broadcast station KJAN, Atlantic, Iowa (FCC File No. BAL-870828EF) was consummated on January 12, 1988. An ownership report reflecting this transaction is being prepared and will be submitted promptly to the Commission.

Should any questions should arise concerning this matter, please communicate directly with the undersigned counsel for Wireless Communications Corp.

Very truly yours,

BORSARI & PAXSON

Anne Thomas Paxson

Anne Thomas Paxson

United States of America
Federal Communications Commission
Washington, D. C. 20554

Ownership Report

NOTE: Before filling out this form, read attached instructions

Section 310(d) of the Communications Act of 1934 requires that consent of the Commission must be obtained prior to the assignment or transfer of control of a station license or construction permit. This form may not be used to report or request an assignment of license/permit or transfer of control (except to report an assignment of license/permit or transfer of control made pursuant to prior Commission consent).

1. All of the information furnished in this Report is accurate as of

January 12 . 19 88

(Date must comply with Section 73.3615(a), i.e., information must be current within 60 days of the filing of this report, when 1(a) below is checked.)

This report is filed pursuant to Instruction (check one)

1 (a) ☐ Annual 1 (b) ☒ Transfer of Control,
Assignment of License or
Construction Permit

for the following stations:

Call Letters	Location	Class of service
KJAN	Atlantic, Iowa	AM

2. Give the name of any corporation or other entity for whom a separate Report is filed due to its interest in the subject licensee (See Instruction 3):

DNA

3. Show the attributable interests in any other broadcast station of the respondent. Also, show any interest of the respondent, whether or not attributable, which is 5% or more of the ownership of any other broadcast station or any newspaper or CATV entity in the same market or with overlapping signals in the same broadcast service, as described in Sections 73.3555 and 76.501 of the Commission's Rules.

None

Public Inspection file

CERTIFICATION

I certify that I am President, Secretary, & Treasurer
(Official title, see Instruction 1)
of Wireless Communications Corp.
(Exact legal title or name of respondent)

that I have examined this Report, that to the best of my knowledge and belief, all statements in the Report are true, correct and complete.

(Date of certification must be within 60 days of the date shown in Item 1 and in no event prior to Item 1 date):

J.C. Van Ginkel February 12 . 19 88
(Signature) (Date)

Telephone No. of respondent (include area code):

(712) 243-4592

Any person who willfully makes false statements on this report can be punished by fine or imprisonment. U.S. Code, Title 18, Section 1001.

Name and Post Office Address of respondent:

Wireless Communications Corp.
16 West 6th Street
Atlantic, IA 50022

4. Name of entity, if other than licensee or permittee, for which report is filed (see Instruction 3):

DNA--filed for licensee

5. Respondent is:

- ☐ Sole Proprietorship
☒ For-profit corporation
☐ Not-for-profit corporation
☐ General Partnership
☐ Limited Partnership
☐ Other: _____

If a limited partnership, is certification statement included as in Instruction 4?

☐ Yes ☐ No DNA

Description of contract or instrument	Name of person or organization with whom contract is made	Date of Execution	Date of Expiration
Articles of Incorporation (attached)	---	7-1-87	Perpetual
Restated Articles of Incorporation (attached)	---	1-2-88	---
By-laws (attached)	---	1-2-88	Until Amended
Amended Purchase Agreement (attached)	Wireless Communications Corp.; Nishna Limited Partnership; Valley Broadcasting, Inc.; & Robert H. Dear	1-12-88	

Capitalization (Only licensees, permittees, or a reporting entity with a majority interest in or that otherwise exercises de facto control over the subject licensee or permittee shall respond.)

Class of Stock (preferred, common or other)	Voting or Non-voting	Number of Shares			
		Authorized	Issued and Outstanding	Treasury	Unissued
Common	Voting	1,000,000	42	---	999,958
Common	Non-voting	1,000,000	---	---	1,000,000

Questions concerning family relationships, attribution exemptions and certifications: (See Instructions 4, 5 and 6)

List officers, directors, cognizable stockholders and partners. Use one column for each individual or entity. Attach additional pages, if necessary. See Instructions 4, 5, and 6.

line (Read carefully - The numbered items below refer to line numbers in the following table.)

1. Name and residence of officer, director, cognizable stockholder or partner (if other than individual also show name, address and citizenship of natural person authorized to vote the stock). List officers first, then directors and, thereafter, remaining stockholders and partners.

2. Citizenship.

3. Office or directorship held.

4. Number of shares or nature of partnership interest.

5. Number of votes.

6. Percentage of votes.

7. Other existing attributable media interests subject to the multiple ownership restrictions of Sections 73.3555 and 76.501 of the Commission's Rules, including nature and size of such interest.

8. All other ownership interests of 5% or more, whether or not attributable, as well as any corporate officership or directorship in broadcast, cable, or newspaper entities in the same market or with overlapping signals in the same broadcast service, as described in Sections 73.3555 and 76.501 of the Commission's Rules, including the nature and size of such interests and the position held.

1	(a)	(b)	(c)
	J. C. Van Ginkel 202 Crombie Atlantic, IA 50022	Steve Eaton 107 Ridge Road Atlantic, IA 50022	
2	USA	USA	
3	Pres., Sec'y, Treas., & Dir.	—	
4	21	21	
5	21	21	
6	50%	50%	
7	None	None	
8	None	None	

FCC NOTICE TO INDIVIDUALS REQUIRED BY THE PRIVACY ACT
AND THE PAPERWORK REDUCTION ACT

The solicitation of personal information requested in this Report is authorized by the Communications Act of 1934, as amended. The principal purpose for which the information will be used is to assess compliance with the Commission's multiple ownership restrictions. The staff, consisting variously of attorneys and examiners, will use the information to determine such compliance. If all the information requested is not provided, processing may be delayed while a request is made to provide the missing information. Accordingly, every effort should be made to provide all necessary information. Your response is required to retain your authorization.

EXHIBIT 9

**Agreement Between Al Hazelton And Wireless Communications, Inc.
Al Hazelton's Stock Certificates**

AGREEMENT

This Agreement, entered into this 2nd day of January, 1988, by and between Alan W. Hazelton, hereinafter called the stockholders, and Wireless Communications Corp., an Iowa corporation having its principal place of business at Atlantic, Iowa, hereinafter called the corporation; witnesseth:

WHEREAS, the parties hereto believe it to be in the best interests of the corporation that the stock of a deceased or withdrawing stockholder be acquired by the corporation; and,

WHEREAS, it is the desire and the intention of each of the parties hereto that, in the event of the happening of any of these events to any of the stockholders, the remaining stockholders may have control of the capital stock of the corporation and that the stock may not be transferred without the prior consent of the corporation as set forth in the by-laws; and,

WHEREAS, it is deemed to be in the best interest of the corporation and the stockholders to restrict the transfer of the capital stock of the corporation in such a manner that it shall not be transferred to non-shareholders and that a fair and equitable price be reached and that a method of payment for such shares be established, and to that end, the parties consider it desirable that they be offered the first right to acquire the capital stock of any stockholder, desiring to encumber or dispose of any such shares.

NOW, THEREFORE, the parties hereto do mutually covenant and agree as follows:

1. The corporation agrees to redeem and the shareholders

agree to sell and transfer their stock in the corporation in the manner and on the terms provided in this agreement.

2. The corporation shall redeem from the stockholder or the stockholder's estate and the stockholder and the stockholder's estate shall surrender to the corporation all of the stockholder's capital stock of the corporation or any interest

therein, on the happening of the following events:

- a. On the death of a stockholder;
- b. On the permanent disability of a stockholder;
- c. Whenever a stockholder shall cease to be an employee of the corporation;
- d. Whenever the corporation and stockholder shall mutually agree in writing.

For purposes of defining a permanent disability herein, it shall occur when so determined for Social Security purposes.

The redemption price shall be paid to the stockholder or the stockholder's estate as set forth herein and the price of each share of stock shall be its value computed in accordance with the provisions of Paragraph 3 of this agreement.

3. The purchase or redemption price per share of capital stock of the corporation shall be the proportional sum of the following:

- a. The net worth of the corporation computed in conformity with generally accepted accounting principals consistently applied and adjusted by either increasing or decreasing the value of the assets of the corporation. The value of this corporation shall be established taking into consideration all assets of the corporation including the value of the underlying business as a going concern, this value shall be referred to as the "appraised value". If the withdrawing stockholder and the corporation are unable to agree on an appraised value for the corporation, each party shall select an appraiser, who shall in turn, agree upon a third appraiser. These three appraisers shall prepare an appraisal which shall be binding on both parties.

4. It is understood and agreed between the parties that the appraised value as determined in accordance with Paragraph 3 above, shall be adjusted only by the costs, if any, of obtaining regulatory approval for the transfer of any such shares. The shareholder's proportional interest in the appraised value less costs referred to above shall be the redemption price of the capital stock of such withdrawing or deceased stockholder.

5. The redemption price of the capital stock of the such withdrawing or deceased shareholder as determined above, shall be established as of the determination date in Paragraph 2 above. The redemption price shall be paid as follows:

20% of the redemption price shall be paid within 60 days of the determination date as set forth in Paragraph 2 above. The remaining balance shall be paid in four equal annual payments which shall be due on the 1st, 2nd, 3rd and 4th annual anniversary dates of the

first payment herein. In addition to the annual principal payments, the corporation shall pay interest on the then remaining balance. The interest rate to be paid shall be 2 percentage points above the rate being paid for a certificate of deposit at the First Whitney Bank & Trust, or its successor institution on C.D.'s of comparable duration and dollar amount of the then remaining balance of the redemption price.

6. None of the stockholders shall sell, assign, pledge, or otherwise transfer or encumber in any manner or by any means whatever, any interest in the capital stock of the corporation without first complying with the restriction regarding transfer of shares in Article X of the by-laws of the corporation established on the 2nd day of January, 1988.

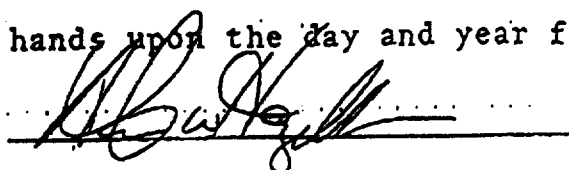
7. The parties hereto agree that all stock of the corporation to be issued hereafter shall be subject to this agreement.

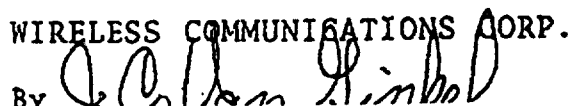
No additional shares of the capital stock of the corporation shall be issued without the majority vote of all then current shareholders. This paragraph shall not limit each shareholder's pre-emptive rights to subscribe for any additional shares so issued.

8. This agreement shall terminate at the cessation of the corporation's business; or, upon the liquidation and dissolution of the corporation; or, by unanimous consent of all shareholders.

9. This agreement shall be binding upon the parties, their heirs, legal representatives, successors and assigns.

IN WITNESS WHEREOF, the parties hereto have set their hands upon the day and year first above written.



WIRELESS COMMUNICATIONS CORP.
By 

President

By

Secretary

J. C. Van Ginkel

Notice is hereby given that the stock represented by this certificate are subject to the restrictions contained in the by-laws of the corporation dated January, 1938, a copy of which restriction is on file in the office of the Secretary of the corporation.

Shareholder's Certificate, and delivery
 immediately constitute and affirm
 to have full and valid effect, and the holder of this certificate is
 deemed to have accepted the restrictions contained in the by-laws of the corporation.

THIS CERTIFICATE IS VALID ONLY WHEN SIGNED BY THE SECRETARY OF THE CORPORATION AND THE PRESIDENT OF THE CORPORATION.

TO BE
 WAY

RESTRICTED STOCK

INCORPORATED UNDER THE LAWS OF THE STATE OF IOWA

WIRELESS COMMUNICATIONS CORP.

CLASS A COMMON STOCK

100

This Certifies that Al Hazelton is the owner of One hundred full paid and non-assessable shares of **WIRELESS COMMUNICATIONS CORP.** in person or by duly authorized Attorney.

CLASS A COMMON SHARE

transferrable only on the books of the Corporation upon the surrender of this certificate properly endorsed.

A statement, in full, of all the designations, preferences, and special or relative rights of the shares of each class authorized to be issued, will be furnished by the Corporation upon request and without charge.

IN WITNESS WHEREOF, the said Corporation officers, this 2nd day of January, A.D. 1938.

J. C. Van Ginkel SECRETARY

J. C. Van Ginkel PRESIDENT

EXHIBIT 10

Acknowledgement of Stock Transfer Restriction

ACKNOWLEDGEMENT OF STOCK TRANSFER RESTRICTION

We, the undersigned shareholders of Wireless Communications Corp. hereby agree to not transfer stock of said corporation to any non-shareholder except under the provisions as set forth below.

No shareholder in this Corporation during his or her lifetime shall sell, assign, pledge or otherwise transfer or encumber in any manner or by any means whatsoever, any interest in all or part of the voting or non-voting common stock of the corporation to any person, individual, firm, association or corporation, except to persons already owning shares herein, unless: (1) the shareholder either obtains the prior written consent of the other stockholders and the corporation to such transfer or encumbrance, or (2) failing the granting of such consent, the party desiring to transfer or encumber the stock to a third party shall do so in accordance with the following terms and conditions: (a) The party desiring to transfer or encumber all or part of his or her stock, hereinafter referred to as the offeror, shall serve notice on the other stockholders and the corporation by registered mail indicating the desire to dispose of or encumber his or her stock. This written notice shall set forth the details of the proposed transfer or encumbrance and shall include the names of the potential transferees or pledges, the number of shares involved, and such other information as will put the other stockholders and corporation on complete notice of the proposed transfer or encumbrance; (b) For a period of fifteen (15) days thereafter, the corporation shall have the option to purchase or encumber such stock under the terms and conditions offered by the third party. Thereafter, if the corporation does not exercise its option, each stockholder shall have the option to purchase or encumber the stock in the respective proportion of his or her stock to the remaining stock outstanding for an additional fifteen (15) days. The purchase or encumbrance shall be made by the stockholder under the same terms and conditions as offered by the independent third party; (c) In the event that the corporation or the other stockholders do not exercise their option or all of the stock of the offeror is not accepted, the offeror shall be free to dispose of or encumber the remaining shares of stock so offered in accordance with the information set forth in the written notice; provided, however, that such disposition must be made within ninety (90) days following the termination of the corporation's and other stockholders' options and provided further that the assignee, transferee, or pledgee shall accept such stock subject to all of the restrictions, terms, and conditions contained in this agreement.

Signature

Date

J.C. Van Sintel

3-10-88

Director to Sintel

3-10-88

Rakshy Eshans

3/10/88

Franklin B. Sinder

3/10/88

Mr. J. G. Galt

3-10-88

Markus Christensen

3-10-88

EXHIBIT 11

FCC Form 315 - Transfer of Control of KJAN(AM)

MORTON H. WILNER
COUNSEL TO THE FIRM

ARTHUR SCHEINER
RICHARD A. SOLOMON
GILBERT B. LESSENCO
PAUL Y. SELIGSON
MICHAEL H. ROSENBLUM
PAUL M. RUDEN
IRVING P. COHEN
DENNIS LANE
MARY A. McREYNOLDS
MARK N. MUTTERPERL
BARRY A. FRIEDMAN
WILLIAM K. KEANE
ROBERT W. KNEISLEY
DAVID D'ALESSANDRO
RICHARD H. WAYS DORF
SUSAN G. BRADEN
LAWRENCE A. ARCH
REBECCA L. DORCH
MICHAEL DRAYER

*NOT ADMITTED IN D. C.

WILNER & SCHEINER

SUITE 300

THE THURMAN ARNOLD BUILDING
1200 NEW HAMPSHIRE AVENUE, N. W.

WASHINGTON, D. C. 20036

(202) 861-7800

TELECOPIER NO. (202) 466-2270

May 16, 1988

ORIGINAL

MARSHAL L. COLE
RICHARD A. MOORE
OF COUNSEL

VIRGINIA OFFICE
SUITE 300
12030 SUNRISE VALLEY DRIVE
RESTON, VIRGINIA 22091
(703) 391-2702

MARYLAND OFFICE
SUITE 300
11921 ROCKVILLE PIKE
ROCKVILLE, MARYLAND 20852-2712
(301) 230-5213

Filing Fee Section
Federal Communications Commission
1919 M Street, N.W.
Room L-18
Washington, D.C. 20554

Re: Station KJAN(AM)
Atlantic, Iowa

Dear Sir:

Transmitted herewith, in triplicate, is an application on FCC Form 315. The application requests the Commission's consent to the transfer of control of the licensee of Station KJAN(AM), Atlantic, Iowa. The transferors are J.C. Van Ginkel and Steve Eaton. The proposed transferees are J.C. Van Ginkel, Merlyn Christensen, Robert G. Einhaus, Everett G. Faust, Al Hazelton, and Franklin G. Miller.

Also enclosed is a check in the amount of \$500.00 for the required filing fee.

Should there be any questions concerning this matter, please communicate with the undersigned.

Very truly yours,


Barry A. Friedman

BAF:ei

Enclosures

RECEIVED
880516

FCC
FEE SECTION

MAY 17 1988
FCC
FEE SECTION

COMMISSION USE ONLY

File No.

BTC-880516 ED

United States Federal Communications Commission
Washington, D.C. 20554

ORIGINAL
FILED

Approved by OMB 3060-0032 Expires 6/30/89	
FEE NO:	0010296
FEE TYPE:	MKA
FEE AMT:	500.00
FORM TO FCC:	18
ID SEQ:	

APPLICATION FOR CONSENT TO TRANSFER OF CONTROL OF CORPORATION HOLDING BROADCAST STATION CONSTRUCTION PERMIT OR LICENSE

(Carefully read instructions before filling out Form — RETURN ONLY FORM TO FCC)

GENERAL INFORMATION

Section I

Part I — Transferor

1. Name of Transferor J. C. Van Ginkel and Steve Eaton

Street Address

City

16 West 6th Street Atlantic

State

Zip Code

Telephone No. 712-243-4592

(include area code)

I.A.

50022

2. Authorization held by corporation whose control is to be transferred

a. Call Letters

Location

KJAN

Atlantic, Iowa

b. Has the station commenced its initial program tests within the past twelve months?

☐ YES ☒ NO

If yes, was the initial construction permit granted after comparative hearing?

If yes, attach as Exhibit No. the showing required by Section 73.3597.

RECEIVED

880516

FCC
FEE SECTION

☐ YES ☐ NO

3. Call letters of any Remote Pickup, STL, SCA, or other stations held by corporation whose control is to be transferred: KC-23613

Attach as Exhibit No. One a copy of the contract or agreement for transfer of control of the licensee. If there is only an oral agreement, reduce the terms to writing and attach.

5. Attach as Exhibit No. Two a full narrative statement as to the means by which transferor has control over the licensee and the manner by which control over licensee is being transferred.

6. Stock holdings of transferor in licensee:

NAME OF TRANSFEROR	INTEREST HELD		LICENSEE'S TOTAL SHARES OUTSTANDING	
	BEFORE TRANSFER Shares %	AFTER TRANSFER Shares %	BEFORE TRANSFER	AFTER TRANSFER
J. C. Van Ginkel	50	33 1/3	21	250
Steve Eaton	50	0	21	0

GENERAL INFORMATION

Part I

7. State in Exhibit No. Three whether the transferor, or any partner, officer, director, members of the transferor's governing board, or any stockholder owning 10% or more of the transferor's stock: (a) have any interest in or connection with an AM, FM or television broadcast station; or an application pending before the FCC; or (b) has had any interest in or connection with any dismissed and/or denied application; or any FCC license which has been revoked.

The Exhibit should include the following information: (i) name of party with such interest; (ii) nature of interest or connection; (iii) call letters or file number of application, or docket number; (iv) location.

8. Since the filing of the transferor's last renewal application for the station affected by this transfer, or other major application, has an adverse finding been made, a consent decree been entered or adverse final action been approved by any court or administrative body with respect to transferor, or any partner, officer, director, member of the transferor's governing board or any stockholder owning 10% or more of transferor's stock, concerning any civil or criminal suit, action or proceeding brought under the provisions of any federal, state, territorial or local law relating to the following: any felony, lotteries; unlawful restraints or monopolies; unlawful combinations; contracts or agreements in restraint of trade; the use of unfair methods of competition; fraud; unfair labor practices; or discrimination?

☐ YES ☒ NO

If Yes, attach as Exhibit No. _____ a full description, including identification of the court or administrative body, proceeding by fil number, the person and matters involved, and the disposition of litigation.